

## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.                                 | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---------------|----------------------|---------------------|------------------|
| 10/712,632                                      | 11/12/2003    | Gary Ashley          | 010041.07           | 2192             |
| 34499 75  | 90 09/14/2004 |                      | EXAMINER            |                  |
| KOSAN BIOSCIENCES, INC<br>3832 BAY CENTER PLACE |               |                      | PESELEV, ELLI       |                  |
| HAYWARD, CA 94588                               |               |                      | ART UNIT            | PAPER NUMBER     |
|   |               |                      | 1623                | 1623             |

DATE MAILED: 09/14/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.   | Applicant(s)  |  |  |  |
|---|---|---|--|--|--|
|   | 10/712,632  | ASHLEY ET AL.   |  |  |  |
| Office Action Summary   | Examiner  | Art Unit  |  |  |  |
|   | Elli Peselev  | 1623  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |   |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE | ely filed will be considered timely. the mailing date of this communication. 0 (35 U.S.C. § 133). |  |  |  |
| Status  |   |   |  |  |  |
| 1) Responsive to communication(s) filed on  | _•  |   |  |  |  |
|   | action is non-final.  | •   |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |   |   |  |  |  |
| Disposition of Claims   |   |   |  |  |  |
| 4) ⊠ Claim(s) <b>7</b> -15 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <b>7</b> -11 is/are rejected. 7) ⊠ Claim(s) <u>12-15</u> is/are objected to. 8) □ Claim(s) are subject to restriction and/or  | ·   |   |  |  |  |
| Application Papers  |   |   |  |  |  |
| 9) The specification is objected to by the Examiner   | r <b>.</b>  |   |  |  |  |
| 10) The drawing(s) filed on is/are: a) □ accepted or b) □ objected to by the Examiner.  |   |   |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |   |  |  |  |
| Replacement drawing sheet(s) including the correcting 11) The oath or declaration is objected to by the Expression 11.  | · · · · · · · · · · · · · · · · · · ·   | • •   |  |  |  |
| Priority under 35 U.S.C. § 119  |   |   |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents</li> <li>2. Certified copies of the priority documents</li> <li>3. Copies of the certified copies of the prior application from the International Bureau</li> <li>* See the attached detailed Office action for a list of</li> </ul>   | s have been received. s have been received in Application ity documents have been receive (PCT Rule 17.2(a)).   | on No<br>d in this National Stage   |  |  |  |
| **************************************  |   |   |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  | A) Data dan Com   | (DTO 442)   |  |  |  |
| 2) Notice of References Cited (PTO-592)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date   | 4) Interview Summary ( Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:   |   |  |  |  |

Application/Control Number: 10/712,632

Art Unit: 1623

The disclosure is objected to because of the following informalities: the status of the parent application no. 09/990,554 has not been updated on page 1 of the specification.

Appropriate correction is required.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 7-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Freiberg et al (U.S. Patent No. 5,538,961) in view of Hlasta et al (U.S. Patent No. 6,590,083).

Freiberg et al disclose a closely analogous erythromycin derivative (column 2, lines 20-67 and column 3, lines 1-19). The only difference between the claimed compounds and the reference's compounds is at the 13-position i.e. the reference's

Application/Control Number: 10/712,632

Art Unit: 1623

compounds contain an ethyl group at the 13-position while the claimed compounds contain a propyl group at the 13-position. However, since propyl is a next higher homologue of ethyl and since Hlasta et al teach equivalency of various alkyl groups at the 13-position of an erythromycin derivative, a person having ordinary skill in the art at the time the instant invention was made would have been motivated to substitute a propyl group for an ethyl group at the 13-position of the compounds disclosed by Freiberg et al because such a person would have expected the resulting compounds to have similar activity.

Claims 12-15 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elli Peselev whose telephone number is (571) 272-0659. The examiner can normally be reached on 9.00-5.30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Wilson can be reached on (571) 272-0661. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Page 4

Application/Control Number: 10/712,632

Art Unit: 1623

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Elli Peselev

ELLI PESELEV PRIMARY EXAMINER GROUP 1800